# FORMS FOR PROFESSIONAL FUNDRAISERS

Companies or persons that qualify as a Professional Fundraising Counsel

should use the following Form 103



J. Carlton Courter, III
Commissioner

**Department of Agriculture and Consumer Services** 

Division of Consumer Protection Office of Consumer Affairs

**Form 103** 

## REMITTANCE FORM Fundraising Council

YOU MUST USE THIS FORM TO RECEIVE PROPER CREDIT OF YOUR FEE(S)

Organization name:		
Address:		
Federal Employer Ide	entification Number	
If you do not have a application.	n FEIN, please ent	er the Social Security Number of the person who has signed the
Registration Fee:	\$100.00	(910-02-02681)
Check Number:		_

#### MAKE CHECKS PAYABLE TO "TREASURER OF VIRGINIA"

The Code of Virginia authorizes state agencies to assess interest, administrative charges and penalty fees for returned checks and past-due accounts in accordance with guidelines promulgated by the Department of Accounts.

PLEASE ATTACH COMPLETED REMITTANCE FORM TO FRONT OF REGISTRATION FORM WITH CHECK ATTACHED AND MAIL TO:

Virginia Department of Agriculture and Consumer Services P.O. Box 526 Richmond, VA 23218-0526

## Virginia Department of Agriculture and Consumer Services Division of Consumer Protection Office of Consumer Affairs P.O. Box 526 - Richmond, VA 23218

#### FORM 103 - REGISTRATION STATEMENT FOR A PROFESSIONAL FUNDRAISING COUNSEL

	Unless otherwise noted, all information provided on this form and attachments must be for the <u>current</u> year.		
1.	Primary name:		
2.	List any other names under which you may conduct business in Virginia:		
3.	Primary address:		
	City State Zip Code		
	Telephone		
4.	List addresses and telephone numbers of any other offices located in Virginia		
5.	Please check one:		
	( ✓) Type of organization		
	Corporation		
	Partnership		
	Other (specify:		
3.	Date of incorporation or formation:  / / mo day yr		
7.	Where was the organization legally established?		
	City State		
3.	Name and address of designated agent for receipt of process within the Commonwealth of Virginia:		
	Name		
	Address		
	City State Zip Code		

9. Please attach a list of officers and directors if the organization is a corporation or the names of the partners or principal owner and executive personnel if it is a partnership or sole proprietorship.

10. Has any person employed by the organiz embezzlement, larceny or other crimes involving misapplication of funds impressed with a trust?	ation ever been convicted in any jurisdiction of g the obtaining of money under false pretenses, or the		
(Yes or No) If "Yes," attach a copy conviction, or a copy of any applicable pardon.	of the court Order that states the reasons for the		
. Is the organization, or any employee of the organization <u>currently</u> enjoined by any court or otherwise prohibited from soliciting in any jurisdiction?			
(Yes or No) If "Yes," attach a copy of the injunction or prohibition.	the Order that states the reasons and time period for		
12. Is the fundraising counsel filing this registration other state or governmental agency for thoroganization?	licensed by, registered with, or given a permit by any see purpose of consulting with a civic or charitable		
(Yes or No) If "Yes", name the agenci	es.		
13. Has the fundraising counsel filing this registrati by any state or local government?	on ever been denied a license, permit, or registration		
(Yes or No) If "Yes," provide details.			
14. Are any solicitations performed:			
a. Directly by your organization?			
(Yes or No) If yes, you do not	qualify as a fundraising counsel. Use Form 104.		
b. Through organizations or persons under you civic organization for which you consult?	ur organization's direction, other than the charitable or		
(Yes or No) If yes, you may n the organizations and/or persons under you	ot qualify as a fundraising counsel. Attach a listing of r direction and copies of all related contracts.		
15. Attach a list of the names and addresses of a organization has current contracts and list the d	all charitable and civic organizations with which your ates (from mo/yr, to mo/yr) that each contract covers.		
6. Are any of the members, partners, officers, directors or executive personnel of the fundraising counsel filing this registration members, partners, officers, directors or executives of, or otherwise associated with, any civic or charitable organization with which this fundraising counsel has contracts?			
	anization with which this fundraising counsel has		
contracts?	anization with which this fundraising counsel has ving information. Use additional pages if necessary.		
contracts?  (Yes or No) If "Yes," provide the follow			
contracts?  (Yes or No) If "Yes," provide the follow	ving information. Use additional pages if necessary.		
contracts?  (Yes or No) If "Yes," provide the follow	ving information. Use additional pages if necessary.		
<ul><li>11.</li><li>12.</li><li>13.</li><li>15.</li></ul>	embezzíement, larceny or other crimes involving misapplication of funds impressed with a trust?  (Yes or No) If "Yes," attach a copy conviction, or a copy of any applicable pardon.  Is the organization, or any employee of the org prohibited from soliciting in any jurisdiction?  (Yes or No) If "Yes," attach a copy of the injunction or prohibition.  Is the fundraising counsel filling this registration other state or governmental agency for the organization?  (Yes or No) If "Yes", name the agencial department of the injunction of the injunction or prohibition.  Has the fundraising counsel filling this registration of the injunction?  (Yes or No) If "Yes," provide details.  Are any solicitations performed:  a. Directly by your organization?  (Yes or No) If yes, you do not be the injunction of the		

- 17. Except as otherwise provided, all information required to be filed under Chapter 5 of Title 57 of the Code of Virginia shall become public records in the Office of the Commissioner, and shall be open to the general public for inspection. You are required by law to supply this information as a prerequisite to the soliciting of charitable contributions. If you do not provide this information, you may not solicit in Virginia.
- 18. The registration fee for professional fund-raising counsel is \$100.00. Please make check payable to "Treasurer of Virginia" and attach it to the front page of the form..

#### 19. OATH OR AFFIRMATION

I, the undersigned swear or affirm under penalties provided by law that this Registration Statem (including any accompanying appendices) has been examined by me and is, to the best of knowledge and belief, a true, correct and complete statement for the <u>current</u> year, pursuant to laws of the Commonwealth of Virginia.	
Signature of sole proprietor or officer	Print name
Title	Daytime telephone number
Date	

My commission expires (date)

#### **REQUIRED ATTACHMENTS**

Notary Public's signature

I (We) have attached the following required attachments (check all that apply):

Subscribed and sworn before me this \_\_\_\_\_day of \_\_

(✔)	Item	
	Remittance form and check for \$100, made payable to "Treasurer of Virginia."	
	Listing of officers and directors or partners.	
	Copies of any applicable Court Orders.	
	A listing of any organizations and/or persons under your direction and copies of all related contracts.	
	A list of the names and addresses of all charitable and civic organizations with which your organization has current contracts and list the dates (from mo/yr, to mo/yr) that each contract covers.	
	Copy of signed contract(s) between your organization and each charitable or civic organization.	

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Companies or persons acting as a Professional Solicitor should use the following Form 104 and the Campaign Forms that follow



J. Carlton Courter, III Commissioner

**Department of Agriculture and Consumer Services** 

Division of Consumer Protection Office of Consumer Affairs

**Form 104** 

### REMITTANCE FORM Professional Solicitor

	zation name:		
Address	S:		
Federal	Employer Identification Number:		
If you d applicat	lo not have an FEIN, please enter the Social Security Number of tion.	of the person	who has signed the
	Fees:		
	Registration fee	\$500.00	(910-02-02682)
	Registration fee  Add \$250 late registration fee if solicitations begin prior to registration or renewal	\$500.00	(910-02-02682) (910-02-02194)

#### MAKE CHECKS PAYABLE TO "TREASURER OF VIRGINIA"

The Code of Virginia authorizes state agencies to assess interest, administrative charges and penalty fees for returned checks and past-due accounts in accordance with guidelines promulgated by the Department of Accounts.

PLEASE ATTACH COMPLETED REMITTANCE FORM TO FRONT OF REGISTRATION FORM WITH CHECK ATTACHED AND MAIL TO:

Virginia Department of Agriculture and Consumer Services P.O. Box 526 Richmond, VA 23218-0526

P.O. Box 526 - Richmond, VA 23218

#### FORM 104 REGISTRATION STATEMENT FOR A PROFESSIONAL SOLICITOR

All information provided on this form and related attachments must be for the current year, unless otherwise noted.

Registration fee: \$500.00. Late filing fee: 250.00. Make check payable to "Treasurer of Virginia." Primary name: \_\_\_\_\_ List any other names under which you may conduct business in Virginia: 3. Primary address: City State Zip Code Telephone 4. List addresses and telephone numbers of any other offices located in Virginia 5. Please check one: Type of organization Corporation Partnership Other (specify: 6. Date of incorporation or formation: 7. Where was the organization legally established? \_\_ Citv & State Name and address of designated agent for receipt of process (e.g., registered agent or officer) within the Commonwealth of Virginia: Name Address City State Zip Code

9. Please attach a list of officers and directors if the organization is a corporation or the names of the partners or principal owner and executive personnel if it is a partnership or sole proprietorship.

	conviction, or a copy of any ap	plicable pardon.	t Order that states the reasons for the
11.	prohibited from soliciting in any	/ jurisdiction?	ently enjoined by any court or otherwise
	the injunction or prohibition.		at states the reasons and time period for
12.			registered with, or given a permit by any iting contributions on behalf of a civic or
	(Yes or No) If "Yes",	name the agencies.	
13.	Has the professional solicitor f by any state or local government	iling this registration ever beer ent?	n denied a license, permit, or registration
	(Yes or No) If "Yes,"	attach a statement describing	the facts surrounding said denial(s).
14.	organization has current contra	acts to solicit contributions in ` ers, <b>and</b> a list of all the charit	and civic organizations with which your Virginia and list the dates (from mo/yr, to able or civic organizations for which you
15.	solicitor filing this registration	n <u>also</u> members, partners,	executive personnel of the professional officers, directors or executives of, or ion with which this professional solicitor
	(Yes or No) If "Yes,"	provide the following informati	on. Use additional pages if necessary.
	Name of Individual	Name of Organization	Connection with organization

- 17. Pursuant to §57-61.F of the Code of Virginia, has the professional solicitor filing this registration maintained, during each solicitation campaign and for not less than 3 years afterward (or for the length of time since formation if less than 3 years), the following records? (Check all that apply)

(✔)	Records
	The name and address of each contributor and the date and amount of the contribution.
	The name and residence address of each employee, agent, or other person involved in the solicitations.
	Records of all expenses incurred in the course of the solicitation campaign(s).
	The account number and location of all bank accounts where receipts from each campaign were deposited

- 18. Except as otherwise provided, all information required to be filed under Chapter 5 of Title 57 of the Code of Virginia shall become public records in the Office of the Commissioner, and shall be open to the general public for inspection. You are advised that you are required by law to supply this information as a prerequisite to the soliciting of charitable contributions. If you do not provide this information, you may not solicit in Virginia.
- 19. Bond Requirement: As required by the Virginia Solicitation of Contributions Law and the Rules Governing the Solicitation of Contributions, each professional solicitor shall, at the time this form is initially filed, submit for approval a bond to run to the benefit of the Commonwealth of Virginia in which the professional solicitor shall be the principal obligor in the sum of \$20,000. Such bond shall be maintained in effect, or a replacement bond provided, for the length of time the professional solicitor solicits in Virginia. (The bond form is contained in the complete set of forms provided by the Office of Consumer Affairs.)

#### 20. OATH OR AFFIRMATION

knowledge and belief, a true, correct and claws of the Commonwealth of Virginia.	omplete statement for the <u>current</u> year, pursuant to		
Signature of sole proprietor or officer	Print name		
Title	Date	Daytime telephone number	
Subscribed and sworn before me this	day of	,20	
Notary Public's Signature	. My commission	n expires (date)	

I, the undersigned swear or affirm under penalties provided by law that this Registration Statement

#### **REQUIRED ATTACHMENTS**

I (We) have attached the following required attachments (check all that apply):

(✔)	Item	
	Remittance form and check for \$500, plus \$250 late fee if applicable, made payable to	
	"Treasurer of Virginia."	
	Listing of officers and directors or partners.	
	Copies of any applicable Court Orders.	
	A listing of any organizations and/or persons under your direction and copies of all relate contracts.	
	Statement describing the facts surrounding past denial(s) of licenses, registrations, etc.	
	Current Year: A list of the names and addresses of all charitable and civic organization	
	with which your organization has current contracts and list the dates (from mo/yr, to mo/yr)	
	that each contract covers, for which solicitations for contributions will occur in Virginia.	
	Past Year: A list of the names and addresses of all charitable and civic organizations f which you solicited in the past 12 months in Virginia.	
	Copy of signed contract(s) between your organization and each charitable or civic	
	organization.	
	List of the full names and home addresses of all individuals employed by the organization to supervise the solicitation of contributions occurring in Virginia, and the terms of their	
	remuneration (e.g. salary, commission, bonus, etc.)	
	remuneration (e.g. salary, commission, ponds, etc.)	
	Bond: Attached or previously filed and still in effect	

#### **FORM 105**

#### PROFESSIONAL SOLICITOR'S BOND

Bond Number:		_ Date Received by VDACS	<b>3</b> :
KNOW ALL MEN BY THESE PRESENT	S: That	: We,Name & address of Professiona	l Solicitor
hereinafter called the Principal, and		Name & Address of Surety Company	
hereinafter called the Surety, or Sureties in the sum of Twenty Thousand Dollars themselves, their heirs, executors, admit this bond and the obligations agreed to h	(\$20,00 nistrato	<ul><li>for the payment thereof, the Prir irs, successors and assigns, jointly</li></ul>	ncipal and Sureties bind
WHEREAS, the Principal prop Virginia in the activities of a profession Virginia (1950), as amended.	oses to al solic	commence and engage within itor as those terms are defined in	the Commonwealth of §57-48 of the Code of
NOW, THEREFORE, this bond Virginia and the citizens thereof for any misfeasance in the conduct of charitable employees.	penalti	be for the purpose of reimbursing es or losses resulting from malfeat ation activities by the principal and	sance, nonfeasance, or
This bond shall be effective undays' written notification to the Commis Services of such withdrawal. Failure to of this bond's effectiveness. Withdrawa nonfeasance, or misfeasance that occur release the principal whatsoever.	ssioner give su al shall	uch prior written notification shall re not release the surety from any li	iculture and Consumer esult in the continuation ability for malfeasance,
Signed and sealed this day of		, 20	in the presence of:
Signature of Principal	_as to _	Printed name & title of Principal	(Seal)
Signature of Principal	_as to _	Printed name & title of Principal	(Seal)
Signature of Principal	_as to _	Printed name & title of Principal	(Seal)
Signature of Attorney-in-fact	_as to _	Printed name & title of Attorney-in	(Seal)

Commissioner of the Virginia Department of Agriculture and Consumer Services P.O. Box 1163, Room 103
Richmond, VA 23218

Professional Solicitor

Campaign Forms

#### 1100 Bank Street, Suite 103 - Richmond, VA 23219

#### **FORM 120**

#### **SOLICITATION NOTICE**

(√)	This Solicitation Notice is:
	New
	An amended version of a form previously filed

Pursuant to §57-61(D) of the Code of Virginia (1950), as amended, professional solicitors shall complete this Solicitation Notice in its entirety. Any changes to this information must be filed, within 7 days, on an amended Solicitation Notice.

Professional solicitors shall submit a properly completed Solicitation Notice for every fundraising campaign, as well as on the anniversary date of the signed contract for any continuous fundraising campaign. Incomplete forms and attachments shall not be considered as filed.

Professional solicitors shall submit, upon cancellation of a fund-raising campaign prior to solicitations, a copy of page 1 of this Solicitation Notice as previously filed, with a statement indicating that the campaign has been canceled.

#### CHARITABLE OR CIVIC ORGANIZATION INFORMATION

1.										
		Name of charitable or	civic organiz	ation spo	onsoring t	he campai	ign			
2.	-	Address of charitable or civic organization sponsoring the campaign								
	-	City					_	S	tate	Zip Code
3.	-	Name of contact person	on				_		Telepho	one number
PR	OFE	SSIONAL SOLICIT	OR INFORM	/IATION	l					
4.	_						_			
		Name of professional	solicitor					Solicito	or's teleph	one number
5.		Do you or your company hire one or more subcontractors?YN fyes, attach a list of their company (or proprietors') names, addresses, and telephone numbers.								
		INFORMATION the dates listed below	change, you	must file	an amer	nded Solicit	tation No	otice with	nin 7 days	of the change
6.	Date	es of solicitation: Fro	mo.	/ day	/ yr.	_ to	mo.	/ day	/ yr.	_
7.	Date	e of special event, if a	any:	mo	/ day	yr.	-			
8.	8. Description of solicitation (e.g., special event, Internet, donor renewal, etc.):									

# 9. Name of telephone room or call center director: Director's telephone number:

	Director's telephone number:
10.	Physical Address of telephone room or call center:
	Street
	City State Zip Code
	If more than one phone room is used, attach a listing and indicate for each if it is the professional solicitor's own phone room or that of an agent or subcontractor.
11.	Has any of the persons conducting these solicitations ever been convicted in any jurisdiction of embezzlement, larceny or other crimes involving the obtaining of money under false pretenses, or the misapplication of funds impressed with a trust?
	(Yes or No) If "Yes," attach a copy of the court Order that states the reasons for the conviction, or a copy of any applicable pardon.
12.	Are any of the persons conducting this solicitation $\underline{\text{currently}}$ enjoined by any court or otherwise prohibited from soliciting in any jurisdiction?
	(Yes or No) If "Yes," attach a copy of the Order that states the reasons and time period for the injunction or prohibition.
SPO	ONSOR'S CONSENT TO SOLICIT AND CERTIFICATION
und	suant to subsection F of §57-57 (Prohibited acts), Code of Virginia (1950), as amended, the two lersigned officers hereby give consent for one year or less to the professional solicitor named above to cit charitable contributions for the organization named on line 1.
This	s authorization shall be valid from (one year or less):
regi Soli dep d) t	also hereby <u>certify</u> that: a) the charitable or civic organization named on this form is currently stered, or exempt from annual registration, with the Virginia Office of Consumer Affairs; b) the citation Notice and accompanying materials are true and complete; c) the bank account for the posit of funds raised during this campaign includes the name of the organization named on line 1; and hat the professional solicitor has promised to provide us with copies of the bank statements on a nathly basis.
(1)	By: (2) By:
	(Officer's signature) (Officer's signature)
	(Officer's printed name) (Officer's printed name)
Title	e: Title:
Dat	e:/ Date:/ mo. day yr

#### **OATH OR AFFIRMATION - PROFESSIONAL SOLICITOR**

I hereby certify, under penalty of perjury, that all information contained in this notice and all accompanying materials is true and complete. I further affirm that I accept responsibility for all actions by any agent or subcontractors that may be used in conducting this campaign, including, but not limited to, all required disclosures, any misrepresentations, or other unprofessional actions, in accordance with §§ 57-55.2 and 57-57 of the Code and Sections 2VAC 5-610-70 and 80 of the Rules Governing the Solicitation of Contributions.

I further affirm that the professional solicitor filing this form has fully complied with registration requirements in the Commonwealth of Virginia.					
Signature of authorized representative of Professional Solicitor	Print name				
Date: / / mo. day yr.					

#### **REQUIRED ATTACHMENTS**

I (We) have attached the following required attachments (check all that apply):

<b>(√</b> )	ITEM				
	A list of each subcontractor's company (or proprietors') name, address, and telephone number.				
	A listing of each professional solicitor's own phone rooms.				
	A listing of each agent(s) or subcontractor(s) phone room(s).				
	Copies of any applicable Court Orders.				
	Name and address of the bank where deposits from this campaign will be deposited.				
	Copy of signed contract between the professional solicitor and the charitable or civic organization.				
	Copy of signed contract(s) between the professional solicitor and any agent(s) or subcontractor(s).				

#### 1100 Bank Street, Suite 103 - Richmond, VA 23219

#### **FORM 121**

#### **CONSENT TO SOLICIT**

### SECTION I. **GENERAL INFORMATION** Pursuant to §57-57(F) of the Code of Virginia (1950), as amended, the undersigned hereby gives consent Name of professional solicitor: Address of professional solicitor: City State Zip Code and his agent or subcontractor, if any: Name of subcontractor: Address of subcontractor: City State Zip Code to solicit charitable contributions for the organization listed below, or to use its name in the solicitation of contributions. Name of charitable or civic organization sponsoring the campaign Address of charitable or civic organization sponsoring the campaign City State Zip Code This consent shall be valid for a period not to exceed one year, as follows: From:

#### SECTION II. SIGNATURES OF OFFICERS OF THE CHARITABLE OR CIVIC ORGANIZATION

Two (2) officers must sign this authorization. Affairs. Copies will not be considered as filed.	The original must then be filed with the Office of Consume
Signature of first officer	Signature of second officer
Print name	Print name
Title	Title
Date: mo. / day / yr.	Date: mo. / day / yr.
As required by §57-57(F) of the Code of Virg	FESSIONAL SOLICITORS AND SUBCONTRACTORS  ginia, the professional solicitor and any subcontractor mus ration with him when making solicitations and exhibit it upor r agents of the Commissioner of the Virginia Department o
Signature of professional solicitor	Signature of subcontractor
Print name	Print name
Title	Title
Date: mo. / day / yr.	Date: mo. / day / yr.



J. Carlton Courter, III Commissioner

**Department of Agriculture and Consumer Services** 

Division of Consumer Protection Office of Consumer Affairs

**Form 130** 

### REMITTANCE FORM Professional Solicitor's Final Accounting Report Late Fees

#### YOU MUST USE THIS FORM TO RECEIVE PROPER CREDIT OF YOUR FEE(S)

Organization name:	
Address:	
Federal Employer Identif	cation Number:
If you do not have an Fl application.	IN, please enter the Social Security Number of the person who has signed the
Campaign Solicitation Da	tes: From: to
Name or charitable or civ	c organization on whose behalf campaign was conducted:
Late Final Accounting Re	port Fees (\$25 per month or portion thereof)
	\$ (910-02-02799)
	Check Number

#### MAKE CHECKS PAYABLE TO "TREASURER OF VIRGINIA"

The Code of Virginia authorizes state agencies to assess interest, administrative charges and penalty fees for returned checks and past-due accounts in accordance with guidelines promulgated by the Department of Accounts.

PLEASE ATTACH COMPLETED REMITTANCE FORM TO FRONT OF REGISTRATION FORM WITH CHECK ATTACHED AND MAIL TO:

Virginia Department of Agriculture and Consumer Services P.O. Box 526 Richmond, VA 23218-0526

1100 Bank Street, Suite 103 - Richmond, VA 23219

#### **FORM 130**

#### FINAL ACCOUNTING REPORT

The professional solicitor shall submit the final accounting report no later than 90 days after the completion date of the solicitation campaign in Virginia, or in accordance with any extensions granted, in compliance with subsection E of §57-61 (Registration of professional fund-raising counsels and solicitors), Code of Virginia (1950), as amended. Any subsequent changes in the information submitted shall be reported every 90 days thereafter, for a fund-raising campaign of finite duration; and on an annual basis, no later than 90 days after the anniversary of the contract date, for a continuous fund-raising campaign. Untimely filing of this report shall result in the assessment of late fees provided by law.

1.	Primary name of professional solicitor:								
2.	Name of charitable or civic organization:								
3.	Actual dates of solicitation in Virginia: From: / / To: / / mo. day yr. To:/ //								
4.	Attach a copy of the first page of the original or amended Solicitation Notice filed for this campaign.								
5.	Were written scripts used for the solicitation?								
	(Yes or No) If "Yes," attach copies of all written scripts used.								
6.	Attach a copy of the actual written request for a contribution, or written receipt made in response to an oral request for a contribution, including a copy of any return envelope.								
7.	Financial summary for this campaign:								
	Total funds collected \$								
	Total funds collected in Virginia \$								
	Total received or retained by the charitable or civic organization \$								
8.	For an advertising campaign, provide the number of publications / copies distributed:								
9.	VERIFICATION BY CHARITABLE OR CIVIC ORGANIZATION:								
	I, the executive officer of the charitable or civic organization:								
	<ul> <li>a) Have reviewed this report at the conclusion of the fundraising campaign.</li> <li>b) Verify that all requests for information in this form are properly completed.</li> <li>c) Verify that the amount listed as the "Amount received or retained by charitable or civic organization" (check one) IS () / is NOT () the actual amount received or retained by the charitable or civic organization. If the amount listed is not the actual amount received or retained, I have attached a full explanation for the discrepancy.</li> <li>d) If the donations were collected or received by the solicitor, I verify that I (check one) HAVE () / have NOT () received copies of the bank statements for this campaign account from the professional solicitor on a monthly basis.</li> </ul>								
	Signature of executive officer Print name								
	Title Telephone number Date: mo day yr								

#### 10. ATTESTATION BY THE PROFESSIONAL SOLICITOR

I attest that this accounting is true and accurate and includes all required attachments.				
Signature of professional solicitor's authorized representative	Print name			
Title /	Telephone number			
Date: mo. day yr.				

#### **Professional Solicitor's Checklist:**

<b>(√</b> )	ITEM			
	Have you filled in every blank? The form will not be considered as filed if there are any			
	omissions. Any late fees will continue to accumulate until the complete form is filed.			
	Have you signed the form? The form with ORIGINAL signatures (no photocopies) must be			
	submitted to the Virginia Office of Consumer Affairs.			
	Have you obtained the charity's authorized signature?			
	Have you attached a copy of the first page of the original or amended Solicitation Notice you filed?			
	Have you attached copies of scripts?			
	Have you attached copies of all materials that were sent or given to donors?			

1100 Bank Street, Suite 103 - Richmond, VA 23219

## FORM 131 - SCHEDULE A ACCOUNTING FOR ALL TICKET SALES, INCLUDING SOLICITATION FOR DONATED TICKETS

Applicable to all ticket sales, including those sold on the representation that the tickets may be donated for use by a third party.

1.	Tot	al dollar amount of pledges:	\$		
2.	Bre	eakdown of prices for tickets:			
	a.	Adult or highest cost ticket:	\$	Number of persons per	ticket:
	b.	Children's ticket:	\$	Number of children per	ticket:
	C.	Other (explain below):	\$	Number of persons per	ticket:
3.	Tot	al revenue from sale of tickets:			
	a.	Adult tickets sold:		Revenue from adults:	\$
	b.	Children tickets sold:		Revenue from children	: \$
	C.	Other tickets sold:		Revenue from others:	\$
	d.	Total revenue:			\$
4.	Tot	al number of third party tickets re	eturned by donors for	distribution:	
5.	Tot	al number of tickets distributed to	o third parties:		
		ach copies of all signed Forr anizations that accepted tickets.	m 132, "Commitmer	nt for Receipt of Donate	d Tickets," from
6.	Da	tes of "straight sales" solicitations	s: From/	To:	1 1
7.	Re	venue from "straight sales:"			
	a.	Adult tickets sold:		Revenue from adults:	\$
	b.	Children tickets sold:		Revenue from children	: \$
	C.	Other tickets sold:		Revenue from others:	\$
	d.	Total revenue:			\$
8.	Da	te of event: From/	/To:	1 1	
9.	Location of event:				
10.	Са	pacity of venue:			
11.	Tot	al event attendance:			

1100 Bank Street, Suite 103 - Richmond, VA 23219

#### FORM 132 COMMITMENT FOR RECEIPT OF DONATED TICKETS

<del>-</del>		
This is to acknowledge that	(Name of organization receiv	ing tickets)
agrees to accept(Number of)	tickets,	
from(Name of pro	ofessional solicitor's company)	
which will admit(Number of)		
to attend	(Name of event)	
on/at	(Name of venue)	
sponsored by	(Name of charitable or civic c	organization)
AFFIRMATION of organization receive		
I hereby acknowledge that I have readistribute the number of tickets listed		• •
distribute the number of tickets listed	above, representing the number	of individuals listed above.
Signature	Print name	
	 Date	
Address:		
City		 Zip Code
Telephone		—- <sub>1</sub>